

DEPOSITORY SERVICE CHARGES

HEAD	CHARGES
Account Maintenance Charge Individual	₹500/- (or as applicable in Scheme opted) For BSDA (Basic Services Demat Account) Clients: * Value of holdings in Demat account upto Rs. 4 Lakh - NIL * Value of holdings in Demat account between Rs. 4 Lakh to Rs. 10 Lakh - Rs. 100 + GST / Year
Closure of Account	Nil

For each debit transaction - On-Market (Sell Market) OR off Market instruction (% of value for per ISIN)

Below charges are inclusive of depository charges - CDSL - ₹ 3.50 Per Debit Transaction / NSDL - ₹ 4.00 Per Debit Transaction	
With POA/DDPI (applicable for On Market Instruction only)	0.01% of Value (Min. ₹21/- Max. ₹300/-)
For instruction submitted through internet	0.01% of Value (Min. ₹21/- Max. ₹300/-)
For instruction submitted through Branches	0.04% (Min. ₹30/- Max. ₹50,000/-) Extra Charges ₹10/- per ISIN for Late submission
Buy- market and off-market	Nil
Rejection / Failed instruction	₹10/- per failed instruction

Dematerialisation / Rematerialisation

For each request form - Dematerialisation	₹35/-
For each certificate - Dematerialisation	₹5/-
Rematerialisation (For each request form)	₹35/- plus ₹10/- for every hundred securities or part thereof, or a flat fee of ₹10/-per certificate whichever is higher

Pledge Creation/Closure/Confirmation/Invocation (% of value for each ISIN in each request)

For each ISIN Request	0.02% of Value or Min ₹25/- whichever is higher (Plus CDSL/NSDL Charges)
Margin / MTF Pledge for creation	Flat Rate ₹15/- per ISIN per transaction
Margin / MTF Pledge for closure	Flat Rate ₹15/- per ISIN per transaction
Non Disposal Undertaking (NDU)	0.02% of Value or Min ₹50/- whichever is higher

Additional Accounts Statements

By Courier	₹30/-
By Email	Nil

*The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable. • Any concession in card rates is subject to approval from the competent authority.



Name: _____

Date: _____



Name: _____


Date: _____



Name: _____

Date: _____

INTRODUCERS DETAILS (OPTIONAL)

Name			
Status of the Introducer	<input type="checkbox"/> Authorised Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee of A & S <input type="checkbox"/> Other (Specify) _____		
Address			
Contact Details	Mobile:	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> Signature of Introducer  </div>	

OFFICE USE ONLY

UCC Code allotted to the Client:	Client Interviewed By	
Name of the Employee	Employee Code	Designation
Date	Signature of the interviewer	

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For SBICAP Securities Limited

Authorised Signatory