

ACCOUNT OPENING, TRADING & DEPOSITORY CHARGES

Trading Account Charges : Account Opening Charges ₹ 850/-

SCHEDULE OF BROKERAGE / CHARGES AND TAXES

Segment	Delivery	Intra-Day		Minimum
		First leg	Second Leg	
Cash Market	0.50%	0.075%	0.075%	₹ 0.05
Derivatives	Future	0.05%	0.03%	₹ 0.01
	Option*	₹ 100/-	₹ 50/-	₹ 50/-
Currency Derivatives	Future	0.03%	0.015%	₹ 0.01
	Option*	₹ 30/-	₹ 20/-	₹ 20/-
Commodity Derivatives	Future	0.05%	0.03%	₹ 0.01
	Option*	₹ 100/-	₹ 50/-	₹ 50/-

*Per lot #The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable. • Any concession in card rates is subject to approval from the competent authority.

DEPOSITORY SERVICE CHARGES

Description	
Account Maintenance Charge Individual	₹ 750/- (₹ 500/- for e-statement) (or as applicable in Scheme opted)
Account Maintenance Charge Non Individual	₹ 1000/- (or as applicable in Scheme opted)
Closure of Account	Nil
For each debit transaction - On-Market (Sell Market) OR off Market instruction (% of value for per ISIN)	
With POA /DDPI (applicable for On Market Instruction only)	0.01% (Min. ₹ 21/- Max. ₹ 300/-)
For instruction submitted through internet	0.01% (Min. ₹ 21/- Max. ₹ 300/-)
For instruction submitted through Branches	0.04% (Min. ₹ 30/- Max. ₹ 50,000/-) Extra Charges ₹ 10/- per ISIN for Late submission
Buy- market and off-market (Credit)	Nil
Rejection / Failed instruction	₹ 10/- per failed instruction
Dematerialisation / Rematerialisation	
For each request form - Dematerialisation	₹ 35/-
For each certificate - Dematerialisation	₹ 5/-
Rematerialisation (For each request form)	₹ 35/- plus ₹ 10/- for every hundred securities or part thereof, or a flat fee of ₹ 10/-per certificate whichever is higher
Pledge Creation/Closure/Confirmation/Invocation (% of value for each ISIN in each request)	
For each ISIN Request	0.02% of Value or Min ₹ 25/- whichever is higher (Plus CDSL/NSDL Charges)
Margin / MTF Pledge for creation	Flat Rate Rs 15/- per ISIN per transaction
Margin / MTF Pledge for closure	Flat Rate Rs 15/- per ISIN per transaction
Non Disposal Undertaking (NDU)	0.02% of Value or Min Rs 50/- whichever is higher
Additional Accounts Statements	
By Courier	₹ 30/-
By Email	Nil

*The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable. • Any concession in card rates is subject to approval from the competent authority.



Name: _____
Date: _____




Name: _____
Date: _____



Name: _____
Date: _____

INTRODUCERS DETAILS (OPTIONAL)

Name		
Status of the Introducer	<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorised Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee of A & S <input type="checkbox"/> Other (Specify) _____	
Address		
Contact Details	Mobile: _____	Signature of Introducer 

OFFICE USE ONLY

UCC Code allotted to the Client:	Client Interviewed By	
Name of the Employee	Employee Code	Designation
Date	Signature of the interviewer	

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For SBICAP Securities Limited

Authorised Signatory